HAN-SHENG CHEN, Ph.D.

Associate Professor of Finance
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EDUCATION

- The University of Texas at Arlington, Arlington, TX
  Ph.D. in Finance, with minor in Economics **May 2013**

- Tamkang University, Taipei, Taiwan
  M.B.A. in Money, Banking, and Finance **June 2002**

- National Taipei University, Taipei, Taiwan
  B.B.A. in Statistics **June 2000**

ACADEMIC EXPERIENCE

- Lipscomb University, Nashville, TN
  Associate Professor **Aug. 2019 - Current**

- Southeastern Oklahoma State University, Durant OK
  Assistant Professor **2013-2019**

- The University of Texas at Arlington, Arlington TX
  Instructor **2009-2013**
  <u>*Courses taught (undergraduate level):*</u>
  Portfolio Management, Business Finance, Investments, Advanced Business Financial Analysis, Financial Markets and Institutions, Real Estate Finance

- The University of Texas at Arlington, Arlington TX
  Teaching Assistant **2007-2013**
  - Collaborating with drafting syllabi and exams
  - Administering and grading exams
  - Answering students' questions

- National Taiwan University, Taipei, Taiwan
  Research Assistant **2004-2005**
  - Collecting data
  - Performing statistical analysis
  - Proof reading manuscript of research papers

OTHER RELATED EXPERIENCE

- Chunghwa Institute of Economic Research, Taipei Taiwan
  Assistant Research Fellow **Aug 2006 - Oct 2006**
  - Analyzing global investment opportunities
  - Evaluating country level investment environment
  - Assisting Taiwanese government agencies
- Legislative Yuan, Taipei, Taiwan
  Congress Assistant **Mar 2006 - Jul 2006**
  - Reviewing business laws in Taiwan
  - Drafting reports for Taiwanese legislator regarding macroeconomic and financial policies implemented by Taiwanese government.

TEACHING EXPERIENCE

Courses taught (undergraduate level):

Business Finance (traditional, blended and online)	**2013-2019**
Capital Investments (replaced by Intermediate Corporate)	**2013**
Intermediate Corporate Finance	**2014-2019**
Personal Finance	**2013**
Financial Institutions and Capital Markets	**2014, 2019-current**
Financial Modeling (traditional and blended)	**2014-2019**
Business Statistics	**2017-2018**
Security Investments	**2019-current**
Portfolio Management	**2020-current**
Macroeconomics	**2019-current**
International Economics and Finance	**2020-current**

Courses taught (MBA level):

International Finance	**Spring 2017, 2018**
Investments	**2017-current**

PUBLICATIONS

Prather, L. J., H. Chen, and Y. Lin (2015). Creating optimal mutual fund portfolios using Excel solver. *Journal of International Finance and Economics, 15 (3)*, 57-64.

Prather, L. J., H. Chen and Y. Lin (2016). Building and monitoring mutual fund portfolios. In Kent Baker, Greg Filbeck, and Halil Kiymaz (Ed.), *Mutual Funds and Exchange-Traded Funds - Building Blocks to Wealth* (pp. 307-328). New York, NY: Oxford University Press.

Prather, L. J., H. Chen, and Y. Lin (2018). Building optimal risky and utility maximizing TIAA/CREF portfolios. *Global Journal of Accounting and Finance, 2 (1),* 37-51*.*

Chen, H and S. Sabherwal (2019). Investor Overconfidence among Option Traders. *Review of Financial Economics, 37 (1),* 61-91.

WORKING PAPER

"The Effects of Option Trading Behavior on Option Prices" with *Sanjiv Sabherwal* (University of Texas at Arlington).

"**Does Information Asymmetry Motivate Corporate Spin-offs?"** with *Ying-Chou Lin*

WORK IN PROGRESS

"Interaction between Option and Underlying Equity Market in Cross-Listing Context"

"Morning Trading is Hazardous to Your Wealth?"

"Cryptocurrency as Hedging Tools"

"Price Equals Quality? Evidence from Mutual Fund Industry"

SCHOLARLY ACTIVITIES

- CONFERENCE PRESENTATION

  "Investor Overconfidence and Option Trading"
    - Midwest Finance Association, Annual Meeting, Chicago, IL, 2013
    - Eastern Finance Association, Annual Meeting, St. Pete Beach, FL, 2013

  "The Effect of Option Trading Behavior on Option Prices"
    - Eastern Finance Association, Annual Meeting, Pittsburgh, PA, 2014
    - Financial Management Association, Annual Meeting, Nashville, TN, 2014
    - World Finance & Banking Symposium, Taichung, Taiwan, 2018
    - Taiwan Economic Association, Annual Meeting, Taipei, Taiwan, 2018
    - Conference on the Theories and Practices of Security and Financial Markets, Kaohsiung, Taiwan, 2019

  "Creating Optimal Mutual Fund Portfolios Using Excel Solver"
    - International Academy of Business and Economics, Fall Meeting, Las Vegas, NV, 2015

  "Building Optimal Risky and Utility Maximizing TIAA/CREF Portfolios"
    - Academy of Financial Services, Annual Meeting, Orlando, FL, 2015
    - Institute for Global Business Research, New Orleans, LA, 2018

  "Does Information Asymmetry Motivate Corporate Spin-offs?"
    - Institute for Global Business Research, Virtual, 2021
    - Annual Conference of on PBFEAM, Virtual, 2021

  "Cryptocurrency as Hedging Tools"
    - Institute for Global Business Research, Virtual, 2021

- DISCUSSANT

  Financial Management Association, Annual Meeting
  Eastern Finance Association, Annual Meeting
  Midwest Finance Association, Annual Meeting
  World Finance & Banking Symposium
  Conference on the Theories and Practices of Security and Financial Markets

- REVIEWER

  Financial Services Review (2014), Global Journal of Accounting and Finance (2020-current)

SERVICES

- Faculty Search Committee (2013, 2016, 2018, 2019, Southeastern Oklahoma State University)
- Chair, Finance Faculty Search Committee (2017-18, SE)

- Curriculum Committee (2013-14, John Massey School of Business, SE)
- Curriculum and Assurance of Learning Committee (2014-19, JMSB, SE)
- Student Relationship Committee (2015-19, JMSB, SE)
- Faculty Senate (2013-19, SE)
- University Curriculum Committee (2015-19, SE)
- Presidential Advisory Committee – Budget (2015-17, SE)
- Chair, University Curriculum Committee (2016-19, SE)
- Chair, Finance Faculty Search Committee (2017-18, SE)
- Faculty Appellate Committee (2017-19, SE)
- Faculty Advisor – Finance Club (2019-current, CoB, Lipscomb)
- Faculty Advisor – Asian Connection (2021-current, Lipscomb)